

STATE OF CALIFORNIA – DEPARTMENT OF BUSINESS OVERSIGHT  
**INSTRUCTIONS FOR COMPLETING THE APPLICATION FOR A LICENSE UNDER  
THE CALIFORNIA FINANCE LENDERS LAW (CFLL)**  
DBO – 1422 - CFLL (Rev. 11-13)



STATE OF CALIFORNIA  
DEPARTMENT OF BUSINESS OVERSIGHT  
INSTRUCTIONS FOR FILING OF SURETY BOND

EXHIBIT B

This exhibit contains the approved format for the Surety Bond as required by Financial Code Section 22112. Every licensee is required to obtain and maintain a surety bond.

The original surety bond must be filed with an application and must include all of the following:

1. The signature of the attorney-in-fact for the surety company.
2. A notarized signature of the attorney-in-fact.
3. A power of attorney from the surety company.
4. Legal name of the licensee. Business name(s), if applicable, may also be included.
5. The signature of the licensee.
6. A notarized signature of the licensee.

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BEFORE THE  
DEPARTMENT OF BUSINESS OVERSIGHT  
OF THE STATE OF CALIFORNIA  
EXHIBIT B

BOND OF FINANCE LENDER AND/OR BROKER  
FINANCIAL CODE SECTION 22112

Bond No. \_\_\_\_\_

KNOW ALL MEN BY THESE PRESENTS:

That we \_\_\_\_\_ aaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaa \_\_\_\_\_,

as Principal, whose address for service is

\_\_\_\_\_ aaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaa \_\_\_\_\_,  
(Street Address, City, State and ZIP Code)

and \_\_\_\_\_ aaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaa \_\_\_\_\_, a corporation,

created, organized and existing under and by virtue of the laws of the State of \_\_\_\_\_ and an  
admitted Surety insurer authorized to transact a general Surety business in the State of California, as Surety whose address  
for service is \_\_\_\_\_,

(Street Address, City, State and ZIP Code)

are held and firmly bound unto the Commissioner of Business Oversight of the State of California, for the use thereof and  
for the use of any person or persons who may have a cause of action against the Principal under the provisions of the  
California Finance Lenders Law and all laws amendatory thereof and supplementary thereto now and hereafter enacted, in  
the total aggregate penal sum of \_\_\_\_\_ a \_\_\_\_\_ Dollars (\_\_\_\_\_) (see Financial Code Section 22112),  
lawful money of the United States of America, to be paid to the Commissioner of Business Oversight of the State of  
California, or to any person or persons, for the use and benefit aforesaid, for which payment well and truly to be made, we  
bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally firmly by these presents.

The condition of the above obligation is such that –

WHEREAS, The above-named Principal has made application to the Commissioner of Business Oversight of the  
State of California for a license to engage in business under and pursuant to the provisions of the California Finance  
Lenders Law, and is required under the provisions of the California Finance Lenders Law to furnish a bond in the sum  
above named, conditioned as herein set forth:

NOW, THEREFORE, The Principal, and any and all agents and employees representing the Principal, shall  
faithfully conform to and abide by the provisions of the California Finance Lenders Law and all laws amendatory thereof  
and supplementary thereto now and hereafter enacted, and of all rules and regulations lawfully made by the Commissioner  
of Business Oversight of the State of California under the California Finance Lenders Law and shall honestly and  
faithfully apply all funds received and shall faithfully and honestly perform all obligations and undertakings under the  
California Finance Lenders Law, and shall pay to the Commissioner of Business Oversight of the State of California and  
to any person or persons, for the use and benefit aforesaid, any and all moneys which become due or owing the State or to  
any such person or persons from the Principal under and by virtue of the provisions of the California Finance Lenders  
Law.

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This bond is subject to the following provisions:

1. That any person who sustains an injury covered by this bond may, in addition to any other remedy that he or she may have, bring an action in his or her own name upon this bond for the recovery of any damages sustained by him or her.
2. That the total aggregate liability of the Surety or sureties herein shall be limited to the payment of \_\_\_\_\_ aaaaaa \_\_\_\_\_ Dollars ( \_\_\_\_\_ ) (insert amount of the bond).
3. This bond may be canceled by the Surety in accordance with the provisions of Sections 996.320 and 996.330 of the Code of Civil Procedure of the State of California.
4. That, in the event either the Principal and/or the Surety under this bond are served with notice of any action commenced against the Principal or the Surety under the bond, the Principal and Surety as each is served with notice of action shall respectively and, within 10 days, give written notice of the filing of such action to the Commissioner of Business Oversight of the State of California, at the Los Angeles office of the Department of Business Oversight.
5. That, in the event the Surety under this bond makes full or partial payment on this bond the Surety shall immediately give written notice of such full or partial payment to the Commissioner of Business Oversight of the State of California, at the Los Angeles office of the Department of Business Oversight.
6. That the effective date of this bond shall be \_\_\_\_\_.

\_\_\_\_\_  
Signature of Principal

\_\_\_\_\_  
Typed or Printed Name of Principal

\_\_\_\_\_  
Executed at (City and State)

I certify (or declare) under penalty of perjury under the laws of the State of California that I have executed the foregoing bond under an unrevoked power of Attorney.

Executed in \_\_\_\_\_ on \_\_\_\_\_  
(City and State) (Date)

\_\_\_\_\_  
Signature of Attorney-in-Fact  
for Surety

\_\_\_\_\_  
Printed or typed name of  
Attorney-in-Fact for Surety

ALL OF THE ABOVE SIGNATURES MUST BE NOTARIZED

**ALL-PURPOSE ACKNOWLEDGMENT**

State of \_\_\_\_\_

County of \_\_\_\_\_

On \_\_\_\_\_ before me, \_\_\_\_\_, Notary Public  
personally appeared \_\_\_\_\_

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PURJURY under the laws of the state of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

\_\_\_\_\_  
Signature of Notary

**OPTIONAL**

Though the data below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent reattachment of this form.

**CAPACITY CLAIMED BY SIGNER**

**DESCRIPTION OF ATTACHED DOCUMENT**

INDIVIDUAL  
 CORPORATE OFFICER  
TITLE(s) \_\_\_\_\_

Bond of Finance Lender and/or Broker  
\_\_\_\_\_  
Title or Type of Document

PARTNER(S)  
 MEMBER of LLC  
 ATTORNEY-IN-FACT  
 TRUSTEE(S)  
 GUARDIAN/CONSERVATOR

3  
\_\_\_\_\_  
Number of Pages

OTHER: \_\_\_\_\_

\_\_\_\_\_  
Date of Document

SIGNER IS REPRESENTING:  
NAME OF PERSON(S) OR ENTITY(IES)

\_\_\_\_\_  
Signer(s) other than named above

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_